



98TH GENERAL ASSEMBLY

State of Illinois

2013 and 2014

SB1361

Introduced 2/5/2013, by Sen. Dan Kotowski

SYNOPSIS AS INTRODUCED:

| | |
|------------------------|-----------------------------|
| 5 ILCS 420/1-102.5 new | |
| 5 ILCS 420/1-104.3 new | |
| 5 ILCS 420/1-104.4 new | |
| 5 ILCS 420/1-104.5 new | |
| 5 ILCS 420/1-105 | from Ch. 127, par. 601-105 |
| 5 ILCS 420/1-105.2 new | |
| 5 ILCS 420/1-105.3 new | |
| 5 ILCS 420/1-105.4 new | |
| 5 ILCS 420/1-105.5 new | |
| 5 ILCS 420/1-105.6 new | |
| 5 ILCS 420/1-105.7 new | |
| 5 ILCS 420/1-110 | from Ch. 127, par. 601-110 |
| 5 ILCS 420/1-112.5 new | |
| 5 ILCS 420/1-113.6 new | |
| 5 ILCS 420/4A-102 | from Ch. 127, par. 604A-102 |
| 5 ILCS 420/4A-103 | from Ch. 127, par. 604A-103 |
| 5 ILCS 420/4A-108 | |
| 5 ILCS 420/4A-104 rep. | |

Amends the Illinois Governmental Ethics Act. Creates a uniform statement of economic interest form that must be completed by all persons who are required to file that form under the Act. Changes the nature of the required disclosures that must be made. Authorizes the Secretary of State to institute an electronic statement of economic interest filing system. Repeals a provision that established a separate form for persons required to file a statement of economic interests with county clerks. Effective January 1, 2014.

LRB098 08268 JDS 38370 b

FISCAL NOTE ACT
MAY APPLY

A BILL FOR

1 AN ACT concerning State government.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended
5 by changing Sections 1-105, 1-110, 4A-102, 4A-103, and 4A-108
6 and by adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5,
7 1-105.2, 1-105.3, 1-105.4, 1-105.5, 1-105.6, 1-105.7, 1-112.5,
8 and 1-113.6 as follows:

9 (5 ILCS 420/1-102.5 new)

10 Sec. 1-102.5. "Asset" means, for the purposes of Sections
11 4A-102 and 4A-103, an item that is owned and has monetary
12 value. For the purposes of Sections 4A-102 and 4A-103, assets
13 include, but are not limited to: stocks, bonds (except those
14 issued by the federal, state, or local governments), sector
15 mutual funds, sector exchange traded funds, commodity futures,
16 investment real estate, and partnership interests. For the
17 purposes of Sections 4A-102 and 4A-103, assets do not include:
18 personal residences; personal vehicles; savings or checking
19 accounts; bonds, notes, or securities issued by any branch of
20 federal, state, or local government; Medicare benefits;
21 inheritances or bequests; diversified mutual funds; annuities;
22 pensions (including government pensions); retirement accounts;
23 college savings plans that are qualified tuition plans; or

1 non-income producing trust holdings.

2 (5 ILCS 420/1-104.3 new)

3 Sec. 1-104.3. "Creditor" means, for the purposes of
4 Sections 4A-102 and 4A-103, an individual, organization, or
5 other business entity to whom money or its equivalent is owed,
6 no matter whether that obligation is secured or unsecured,
7 except that if a filer makes a loan to members of his or her
8 family, a political committee registered with the Illinois
9 State Board of Elections, or a political committee, principal
10 campaign committee, or authorized committee registered with
11 the Federal Election Commission, then that filer does not, by
12 making such a loan, become a creditor of that individual or
13 entity for the purposes of Sections 4A-102 and 4A-103 of this
14 Act.

15 (5 ILCS 420/1-104.4 new)

16 Sec. 1-104.4. "Debt" means, for the purposes of Sections
17 4A-102 and 4A-103, any money or monetary obligation owed at any
18 time during the preceding calendar year to an individual,
19 company, or other organization, other than a loan that is from
20 a financial institution, government agency, or business entity
21 and that is granted on terms made available to the general
22 public. For the purposes of Sections 4A-102 and 4A-103, "debt"
23 includes, but is not limited to: personal loans from friends or
24 business associates, business loans made outside the lender's

1 regular course of business, and loans made at below market
2 rates. For the purposes of Sections 4A-102 and 4A-103, "debt"
3 does not include: (i) debts to or from financial institutions
4 or government entities, such as mortgages, student loans,
5 credit card debts, or loans secured by automobiles, household
6 furniture, or appliances, as long as those loans were made on
7 terms available to the general public and do not exceed the
8 purchase price of the items securing them; or (ii) debts to or
9 from political committees registered with the Illinois State
10 Board of Elections or political committees, principal campaign
11 committees, or authorized committees registered with the
12 Federal Election Commission.

13 (5 ILCS 420/1-104.5 new)

14 Sec. 1-104.5. "Diversified funds" means investment
15 products, such as mutual funds, exchange traded funds, or unit
16 investment trusts, that invest in a wide variety of securities.

17 (5 ILCS 420/1-105) (from Ch. 127, par. 601-105)

18 Sec. 1-105. "Economic opportunity" means any purchase,
19 sale, lease, contract, option, or other transaction or
20 arrangement involving property or services wherein a
21 legislator or, in the case of Sections 4A-102 and 4A-103, the
22 filer may gain an economic benefit. The term shall not include
23 gifts.

24 (Source: Laws 1967, p. 3401.)

1 (5 ILCS 420/1-105.2 new)

2 Sec. 1-105.2. "Economic relationship" means, for the
3 purposes of Sections 4A-102 and 4A-103, any relationship that
4 provides the filer with income or an economic opportunity. For
5 the purposes of Sections 4A-102 and 4A-103, economic
6 relationships include, but are not limited to, ownership
7 interests in family-owned businesses and creditor-debtor
8 relationships with third parties other than commercial lending
9 institutions.

10 (5 ILCS 420/1-105.3 new)

11 Sec. 1-105.3. "Family" means, for the purposes of Sections
12 4A-102 and 4A-103, a filer's spouse (including a party to a
13 civil union or a registered domestic partner), children,
14 step-children, parents, step-parents, siblings, step-siblings,
15 half-siblings, parents-in-law, brothers-in-law,
16 sisters-in-law, sons-in-law, daughters-in-law, aunts, uncles,
17 nieces, nephews, great aunts, great uncles, first cousins,
18 grandfathers, grandmothers, grandsons, and granddaughters, as
19 well as the father, mother, grandfather, and grandmother of the
20 filer's spouse.

21 (5 ILCS 420/1-105.4 new)

22 Sec. 1-105.4. "Filer" means, for the purposes of Section
23 4A-102 and 4A-103, a person required to file a statement of

1 economic interests pursuant to this Act.

2 (5 ILCS 420/1-105.5 new)

3 Sec. 1-105.5. "Gift" has the meaning ascribed to that term
4 in Section 1-5 of the State Officials and Employees Ethics Act
5 but does not include any item or benefit to which an exception
6 in Section 10-15 of that Act applies.

7 (5 ILCS 420/1-105.6 new)

8 Sec. 1-105.6. "Income" means, for the purposes of Sections
9 4A-102 and 4A-103, income from whatever source derived,
10 including, but not limited to: compensation received for
11 services rendered or to be rendered (as reported on any
12 Internal Revenue Service forms, including, but not limited to,
13 W-2 and 1099); earnings or capital gains from the sale of goods
14 or property; profit from financial investments or other assets;
15 dividends from common stock; interest or dividend income from
16 all assets; revenue from leases and rentals, royalties, prizes,
17 awards, or barter; forgiveness of debt; and earnings derived
18 from pensions, retirement accounts, annuities or trusts other
19 than testamentary trusts. "Income" does not include
20 compensation earned for service in the position that
21 necessitates the filing of the statement of economic interests,
22 and income from the sale of a personal residence or personal
23 vehicles.

1 (5 ILCS 420/1-105.7 new)

2 Sec. 1-105.7. "Investment real estate" means any real
3 property, other than a filer's personal residences, purchased
4 to produce a profit, whether from income or resale.

5 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

6 Sec. 1-110. "Lobbyist" means an individual who is required
7 to be registered to engage in lobbying activities pursuant to
8 any statute, regulation, or ordinance adopted by a unit of
9 government in the State of Illinois ~~any person required to be~~
10 ~~registered under "An Act concerning lobbying and providing a~~
11 ~~penalty for violation thereof", approved July 10, 1957, as~~
12 ~~amended.~~

13 (Source: Laws 1967, p. 3401.)

14 (5 ILCS 420/1-112.5 new)

15 Sec. 1-112.5. "Personal residence" means, for the purposes
16 of Sections 4A-102 and 4A-103, a filer's primary home residence
17 and any residential real property held by the filer and used by
18 the filer for residential rather than commercial or income
19 generating purposes.

20 (5 ILCS 420/1-113.6 new)

21 Sec. 1-113.6. "Sector funds" means mutual funds or exchange
22 traded funds invested in a particular industry or business.

1 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

2 Sec. 4A-102. The statement of economic interests required
3 by this Article shall include the economic interests of the
4 person making the statement as provided in this Section. The
5 following interests shall be listed by all persons required to
6 file: ~~The interest (if constructively controlled by the person~~
7 ~~making the statement) of a spouse or any other party, shall be~~
8 ~~considered to be the same as the interest of the person making~~
9 ~~the statement. Campaign receipts shall not be included in this~~
10 ~~statement.~~

11 (1) each asset that has a value of more than \$10,000 as
12 of the end of the preceding calendar year and is: (i) held
13 in the filer's name; (ii) held jointly by the filer with
14 his or her spouse (including a party to a civil union or a
15 registered domestic partner), his or her minor child or
16 children, or his or her spouse and minor child or children;
17 or (iii) held by the filer's minor child or children;

18 (2) excluding the income from the position that
19 requires the filing of a statement of economic interests
20 under this Act, each source of income that generated in
21 excess of \$2,500 during the preceding calendar year (as
22 reported on the filer's federal income tax return covering
23 the preceding calendar year) and, if the sale or transfer
24 of an asset produced more than \$2,500 in income during the
25 preceding calendar year, the transaction date on which that
26 asset was sold or transferred;

1 (3) each creditor of a debt in excess of \$5,000 that,
2 during the preceding calendar year, was: (i) owed by the
3 filer; (ii) owed jointly by the filer with his or her
4 spouse (including a party to a civil union or registered
5 domestic partner), his or her minor child or children, or
6 his or her spouse and minor child or children; or (iii)
7 owed by the filer's minor child or children;

8 (4) each debtor of a debt in excess of \$5,000 that,
9 during the preceding calendar year, was: (i) owed to the
10 filer; (ii) owed jointly to the filer and his or her spouse
11 (including a party to a civil union or a registered
12 domestic partner), his or her minor child or children, or
13 his or her spouse and minor child or children; or (iii)
14 owed to the filer's minor child or children;

15 (5) each lobbyist registered with any unit of
16 government in the State of Illinois and with whom the filer
17 maintains an economic relationship, or each lobbyist
18 registered with any unit of government in the State of
19 Illinois who is the spouse (including a party to a civil
20 union or a registered domestic partner), child,
21 step-child, parent, step-parent, or sibling of the filer;
22 and

23 (6) each source and type of gift having a value of \$500
24 or more, or an aggregate value of \$500 or more, for gifts
25 from a single source, received by the filer during the
26 preceding calendar year, excluding gifts received from any

1 family member or from an individual given on the basis of
2 personal friendship.

3 ~~(a) The following interests shall be listed by all~~
4 ~~persons required to file:~~

5 ~~(1) The name, address and type of practice of any~~
6 ~~professional organization or individual professional~~
7 ~~practice in which the person making the statement was~~
8 ~~an officer, director, associate, partner or~~
9 ~~proprietor, or served in any advisory capacity, from~~
10 ~~which income in excess of \$1200 was derived during the~~
11 ~~preceding calendar year;~~

12 ~~(2) The nature of professional services (other~~
13 ~~than services rendered to the unit or units of~~
14 ~~government in relation to which the person is required~~
15 ~~to file) and the nature of the entity to which they~~
16 ~~were rendered if fees exceeding \$5,000 were received~~
17 ~~during the preceding calendar year from the entity for~~
18 ~~professional services rendered by the person making~~
19 ~~the statement.~~

20 ~~(3) The identity (including the address or legal~~
21 ~~description of real estate) of any capital asset from~~
22 ~~which a capital gain of \$5,000 or more was realized in~~
23 ~~the preceding calendar year.~~

24 ~~(4) The name of any unit of government which has~~
25 ~~employed the person making the statement during the~~
26 ~~preceding calendar year other than the unit or units of~~

1 ~~government in relation to which the person is required~~
2 ~~to file.~~

3 ~~(5) The name of any entity from which a gift or~~
4 ~~gifts, or honorarium or honoraria, valued singly or in~~
5 ~~the aggregate in excess of \$500, was received during~~
6 ~~the preceding calendar year.~~

7 ~~(b) The following interests shall also be listed by~~
8 ~~persons listed in items (a) through (f), item (l), item~~
9 ~~(n), and item (p) of Section 4A-101:~~

10 ~~(1) The name and instrument of ownership in any~~
11 ~~entity doing business in the State of Illinois, in~~
12 ~~which an ownership interest held by the person at the~~
13 ~~date of filing is in excess of \$5,000 fair market value~~
14 ~~or from which dividends of in excess of \$1,200 were~~
15 ~~derived during the preceding calendar year. (In the~~
16 ~~case of real estate, location thereof shall be listed~~
17 ~~by street address, or if none, then by legal~~
18 ~~description). No time or demand deposit in a financial~~
19 ~~institution, nor any debt instrument need be listed;~~

20 ~~(2) Except for professional service entities, the~~
21 ~~name of any entity and any position held therein from~~
22 ~~which income of in excess of \$1,200 was derived during~~
23 ~~the preceding calendar year, if the entity does~~
24 ~~business in the State of Illinois. No time or demand~~
25 ~~deposit in a financial institution, nor any debt~~
26 ~~instrument need be listed.~~

1 ~~(3) The identity of any compensated lobbyist with~~
2 ~~whom the person making the statement maintains a close~~
3 ~~economic association, including the name of the~~
4 ~~lobbyist and specifying the legislative matter or~~
5 ~~matters which are the object of the lobbying activity,~~
6 ~~and describing the general type of economic activity of~~
7 ~~the client or principal on whose behalf that person is~~
8 ~~lobbying.~~

9 ~~(c) The following interests shall also be listed by~~
10 ~~persons listed in items (g), (h), (i), and (o) of Section~~
11 ~~4A-101:~~

12 ~~(1) The name and instrument of ownership in any~~
13 ~~entity doing business with a unit of local government~~
14 ~~in relation to which the person is required to file if~~
15 ~~the ownership interest of the person filing is greater~~
16 ~~than \$5,000 fair market value as of the date of filing~~
17 ~~or if dividends in excess of \$1,200 were received from~~
18 ~~the entity during the preceding calendar year. (In the~~
19 ~~case of real estate, location thereof shall be listed~~
20 ~~by street address, or if none, then by legal~~
21 ~~description). No time or demand deposit in a financial~~
22 ~~institution, nor any debt instrument need be listed.~~

23 ~~(2) Except for professional service entities, the~~
24 ~~name of any entity and any position held therein from~~
25 ~~which income in excess of \$1,200 was derived during the~~
26 ~~preceding calendar year if the entity does business~~

1 ~~with a unit of local government in relation to which~~
2 ~~the person is required to file. No time or demand~~
3 ~~deposit in a financial institution, nor any debt~~
4 ~~instrument need be listed.~~

5 ~~(3) The name of any entity and the nature of the~~
6 ~~governmental action requested by any entity which has~~
7 ~~applied to a unit of local government in relation to~~
8 ~~which the person must file for any license, franchise~~
9 ~~or permit for annexation, zoning or rezoning of real~~
10 ~~estate during the preceding calendar year if the~~
11 ~~ownership interest of the person filing is in excess of~~
12 ~~\$5,000 fair market value at the time of filing or if~~
13 ~~income or dividends in excess of \$1,200 were received~~
14 ~~by the person filing from the entity during the~~
15 ~~preceding calendar year.~~

16 ~~For the purposes of this Section, the unit of local~~
17 ~~government in relation to which a person required to file under~~
18 ~~item (c) of Section 4A-101 shall be the unit of local~~
19 ~~government that contributes to the pension fund of which such~~
20 ~~person is a member of the board.~~

21 (Source: P.A. 96-6, eff. 4-3-09; 97-754, eff. 7-6-12.)

22 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

23 Sec. 4A-103. The statement of economic interests required
24 by this Article to be filed with the Secretary of State or
25 county clerk shall be ~~filled in by typewriting or hand~~

1 ~~printing, shall be~~ verified, dated, and signed by the person
2 making the statement and shall contain substantially the
3 following:

4 STATEMENT OF ECONOMIC INTERESTS

5 INSTRUCTIONS:

6 You may find the following documents helpful to you in
7 completing this form:

8 (1) federal income tax returns, including any related
9 schedules, attachments, and forms; and

10 (2) investment and brokerage statements.

11 To complete this form, you do not need to disclose specific
12 amounts or values or report interests relating either to
13 political committees registered with the Illinois State Board
14 of Elections or to political committees, principal campaign
15 committees, or authorized committees registered with the
16 Federal Election Commission.

17 The information you disclose will be available to the
18 public.

19 You must answer all 6 questions. Certain questions will ask
20 you to report any applicable assets or debts held in your name;
21 held jointly with your spouse (including a party to a civil
22 union or a registered domestic partner), your minor child, or
23 both your spouse and minor child; or held by your minor child.

24 If you have any concerns about whether an interest should be

1 reported, please consult your department's ethics officer, if
2 applicable.

3 Please ensure that the information you provide is complete
4 and accurate. If you need more space than the form allows,
5 please attach additional pages for your response. Failure to
6 complete the statement in good faith and within the prescribed
7 deadline may subject you to fines, imprisonment, or both.

8 DEFINITIONS, EXAMPLES, AND FURTHER INSTRUCTIONS:

9 As used in this form:

10 "Asset" means an item that is owned and has monetary value.
11 Assets include, but are not limited to: stocks, bonds (except
12 those issued by the federal, state, or local governments),
13 sector mutual funds, sector exchange traded funds, commodity
14 futures, investment real estate, and partnership interests.
15 "Asset" does not include: personal residences; personal
16 vehicles; savings or checking accounts; bonds, notes, or
17 securities issued by any branch of federal, state, or local
18 government; Medicare benefits; inheritances or bequests;
19 diversified mutual funds; diversified exchange traded funds;
20 annuities; pensions (including government pensions);
21 retirement accounts; college savings plans that are qualified
22 tuition plans; or non-income producing trust holdings.

23 "Creditor" means an individual, organization, or other
24 business entity to whom money or its equivalent is owed, no
25 matter whether that obligation is secured or unsecured, except

1 that if a filer makes a loan to members of his or her family, a
2 political committee registered with the Illinois State Board of
3 Elections, or a political committee, principal campaign
4 committee, or authorized committee registered with the Federal
5 Election Commission, then that filer does not, for the purposes
6 of this form, become a creditor of that individual or entity by
7 making such a loan.

8 "Debt" means any money or monetary obligation owed at any
9 time during the preceding calendar year to an individual,
10 company, or other organization, other than a loan that is from
11 a financial institution, government agency, or business entity
12 and that is granted on terms made available to the general
13 public. "Debt" includes, but is not limited to: personal loans
14 from friends or business associates, business loans made
15 outside the lender's regular course of business, and loans made
16 at below market rates. "Debt" does not include: (i) debts to or
17 from financial institutions or government entities, such as
18 mortgages, student loans, credit card debts, or loans secured
19 by automobiles, household furniture, or appliances, as long as
20 such loans were made on terms available to the general public
21 and do not exceed the purchase price of the items securing
22 them; or (ii) debts to or from political committees registered
23 with the Illinois State Board of Elections or political
24 committees, principal campaign committees, or authorized
25 committees registered with the Federal Election Commission.

26 "Diversified funds" are investment products, such as

1 mutual funds, exchange traded funds, or unit investment trusts,
2 that invest in a wide variety of securities.

3 "Economic opportunity" means any purchase, sale, lease,
4 contract, option, or other transaction or arrangement
5 involving property or services wherein the filer may gain an
6 economic benefit. The term shall not include gifts.

7 "Economic relationship" means any relationship that
8 provides the filer with income or an economic opportunity.
9 Economic relationships include, but are not limited to,
10 ownership interests in family-owned businesses and
11 creditor-debtor relationships with third parties other than
12 commercial lending institutions.

13 "Filer" means a person required to file a statement of
14 economic interests.

15 "Family" means a filer's spouse (including a party to a
16 civil union or a registered domestic partner), children,
17 step-children, parents, step-parents, siblings, step-siblings,
18 half-siblings, parents-in-law, brothers-in-law,
19 sisters-in-law, sons-in-law, daughters-in-law, aunts, uncles,
20 nieces, nephews, great aunts, great uncles, first cousins,
21 grandfathers, grandmothers, grandsons, and granddaughters, as
22 well as the father, mother, grandfather, and grandmother of the
23 filer's spouse.

24 "Gift" means any gratuity, discount, entertainment,
25 hospitality, loan, forbearance, or other tangible or
26 intangible item having monetary value including, but not

1 limited to, cash, food and drink, and honoraria for speaking
2 engagements related to or attributable to government
3 employment or the official position of an employee, member, or
4 officer but does not include any item or benefit to which an
5 exception in Section 10-15 of the State Officials and Employees
6 Ethics Act applies.

7 "Income" means income from whatever source derived,
8 including, but not limited to: compensation received for
9 services rendered or to be rendered (as reported on any
10 Internal Revenue Service form, including, but not limited to,
11 W-2 and 1099); earnings or capital gains from the sale of goods
12 or property; profit from financial investments or other assets;
13 dividends from common stock; interest and dividend income from
14 all assets; revenue from leases and rentals, royalties, prizes,
15 awards, or barter; forgiveness of debt; or earnings derived
16 from pensions, retirement accounts, annuities, or trusts other
17 than testamentary trusts. "Income" does not include
18 compensation earned for service in the position that
19 necessitates the filing of the statement of economic interests,
20 and income from the sale of a personal residence or personal
21 vehicles.

22 "Investment real estate" means any real property, other
23 than a filer's personal residences, purchased to produce a
24 profit, whether from income or resale.

25 "Lobbyist" means an individual who is registered to engage
26 in lobbying activities pursuant to any statute, regulation, or

1 ordinance adopted by a unit of government in the State of
2 Illinois.

3 "Personal residence" means a filer's primary home
4 residence and any residential real property held by the filer
5 that is used by the filer for residential rather than
6 commercial or income generating purposes.

7 "Sector funds" means mutual funds or exchange traded funds
8 invested in a particular industry, business, or location.

9 BASIC INFORMATION:

10 Name:.....

11 Job title:

12 Office, department, or agency that is requiring you to file
13 this form:

14 Other offices, departments, or agencies which require you to
15 file a Statement of Economic Interests form:.....

16 Full mailing address:.....

17 Preferred e-mail address (optional)

18 QUESTIONS:

19 1. If you have any single asset that was worth more than
20 \$10,000 as of the end of the preceding calendar year and is
21 held in your name or your minor child's name, or held jointly
22 with your spouse (including a registered domestic partner or
23 party to a civil union), your minor child, or your spouse and
24 minor child, list such assets below. If you do not have any

1 such assets, list "none" below.

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7 2. Excluding the position that is requiring you to file
8 this form, list the source of any income in excess of \$2,500 as
9 reported on your federal income tax return covering the
10 preceding calendar year. If you sold an asset which produced
11 more than \$2,500 in income in the preceding calendar year, list
12 the name of the asset and the transaction date on which the
13 sale or transfer took place. If you had no other sources of
14 income exceeding \$2,500, list "none" below.

15

16 Source of Income / Name of Date Sold (if applicable)
17 Asset

18

19

20

21 3. Excluding debts incurred on terms available to the
22 general public, such as mortgages, student loans, and credit
23 card debts, if you owed any single debt in the preceding
24 calendar year exceeding \$5,000, list the creditor of such debt
25 below. If you had no such debts, list "none" below.

26 List the creditor for all applicable debts owed by you;

1 owed jointly by you with your spouse (including a registered
 2 domestic partner or party to a civil union), your minor child,
 3 or your spouse and minor child; or owed by your minor child. In
 4 addition to the types of debts listed above, you do not need to
 5 report any debts to or from financial institutions or
 6 government agencies, such as debts secured by automobiles,
 7 household furniture or appliance, as long as any such debt was
 8 made on terms available to the general public and does not
 9 exceed the purchase price of the item securing the loan, debts
 10 to members of your family, or debts to or from a political
 11 committee registered with the Illinois State Board of Elections
 12 or any political committee, principal campaign committee or
 13 authorized committee registered with the Federal Election
 14 Commission.

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19 4. Excluding debts owed to you by members of your family or
 20 by a political committee, if there is any entity or person who
 21 owed debt to you in the preceding calendar year exceeding
 22 \$5,000, list such debtor below. If no such debts were owed to
 23 you, list "none" below.

24 List the debtor for all applicable debts owed to you; owed
 25 jointly to you with your spouse (including a registered
 26 domestic partner or party to a civil union), your minor child,

1 or your spouse and minor child; or owed to your minor child.
 2 You do not need to report loans you made to members of your
 3 family or to a political committee registered with the Illinois
 4 State Board of Elections or any political committee, principal
 5 campaign committee or authorized committee registered with the
 6 Federal Election Commission.

7
 8
 9

10 5. If you maintain an economic relationship with a lobbyist
 11 or if your spouse (including a registered domestic partner or
 12 party to a civil union), child, step-child, parent,
 13 step-parent, or sibling is a lobbyist registered with any unit
 14 of government in the State of Illinois, list the name of the
 15 lobbyist below and identify the nature of your relationship
 16 with the lobbyist. If you do not have an economic association
 17 with a lobbyist, list "none" below.

18

| <u>Name of Lobbyist</u> | <u>Relationship to Filer</u> |
|-------------------------|------------------------------|
| | |
| | |
| | |

23 6. Excluding any gift or gifts received from a family
 24 member or from an individual given on the basis of personal
 25 friendship, list below any gift or gifts you received in the
 26 preceding calendar year from a single source having an

1 aggregate value of \$500 or more.

2 List the name of any person, organization, or business from
3 which you received an applicable gift or gifts. You do not need
4 to report any gift received from friends, family members, or
5 those made through bequests or other forms of inheritance.

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9 VOLUNTARY DISCLOSURE OF OTHER ECONOMIC INTERESTS:

10 If you or your family have additional financial information
11 or other economic interests and relationships whose disclosure
12 you believe would aid the public, you may list that information
13 here.

14
15
16

17 VERIFICATION:

18 "I declare that this statement of economic interests
19 (including any attachments) has been examined by me and to the
20 best of my knowledge and belief is a true, correct and complete
21 statement of my economic interests as required by the Illinois
22 Governmental Ethics Act. I understand that the penalty for
23 willfully filing a false or incomplete statement is a fine not
24 to exceed \$2,500 or imprisonment in a penal institution other

1 than the penitentiary not to exceed one year, or both fine and
2 imprisonment."

3 Printed Name

4 Date

5 Signature.....

6 ~~STATEMENT OF ECONOMIC INTEREST~~

7 ~~(TYPE OR HAND PRINT)~~

8 ~~.....~~

9 ~~(name)~~

10 ~~.....~~

11 ~~(each office or position of employment for which this statement~~
12 ~~is filed)~~

13 ~~.....~~

14 ~~(full mailing address)~~

15 ~~GENERAL DIRECTIONS:~~

16 ~~The interest (if constructively controlled by the person~~
17 ~~making the statement) of a spouse or any other party, shall be~~
18 ~~considered to be the same as the interest of the person making~~
19 ~~the statement.~~

20 ~~Campaign receipts shall not be included in this statement.~~

21 ~~If additional space is needed, please attach supplemental~~
22 ~~listing.~~

23 ~~1. List the name and instrument of ownership in any entity~~
24 ~~doing business in the State of Illinois, in which the ownership~~
25 ~~interest held by the person at the date of filing is in excess~~
26 ~~of \$5,000 fair market value or from which dividends in excess~~

1 ~~of \$1,200 were derived during the preceding calendar year. (In~~
 2 ~~the case of real estate, location thereof shall be listed by~~
 3 ~~street address, or if none, then by legal description.) No time~~
 4 ~~or demand deposit in a financial institution, nor any debt~~
 5 ~~instrument need be listed.~~

| Business Entity | Instrument of Ownership |
|----------------------------|------------------------------------|
| | |

11 ~~2. List the name, address and type of practice of any~~
 12 ~~professional organization in which the person making the~~
 13 ~~statement was an officer, director, associate, partner or~~
 14 ~~proprietor or served in any advisory capacity, from which~~
 15 ~~income in excess of \$1,200 was derived during the preceding~~
 16 ~~calendar year.~~

| Name | Address | Type of Practice |
|------------------|--------------------|-----------------------------|
| | | |
| | | |
| | | |

21 ~~3. List the nature of professional services rendered (other~~
 22 ~~than to the State of Illinois) to each entity from which income~~
 23 ~~exceeding \$5,000 was received for professional services~~
 24 ~~rendered during the preceding calendar year by the person~~
 25 ~~making the statement.~~

26 ~~.....~~

1
.....

2 ~~4. List the identity (including the address or legal~~
3 ~~description of real estate) of any capital asset from which a~~
4 ~~capital gain of \$5,000 or more was realized during the~~
5 ~~preceding calendar year.~~

6
.....

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.....

8 ~~5. List the identity of any compensated lobbyist with whom~~
9 ~~the person making the statement maintains a close economic~~
10 ~~association, including the name of the lobbyist and specifying~~
11 ~~the legislative matter or matters which are the object of the~~
12 ~~lobbying activity, and describing the general type of economic~~
13 ~~activity of the client or principal on whose behalf that person~~
14 ~~is lobbying.~~

| | | | |
|----|---------------------|-------------------------------|--------------------------------|
| 15 | Lobbyist | Legislative Matter | Client or Principal |
| 16 | | | |
| 17 | | | |

18 ~~6. List the name of any entity doing business in the State~~
19 ~~of Illinois from which income in excess of \$1,200 was derived~~
20 ~~during the preceding calendar year other than for professional~~
21 ~~services and the title or description of any position held in~~
22 ~~that entity. (In the case of real estate, location thereof~~
23 ~~shall be listed by street address, or if none, then by legal~~
24 ~~description). No time or demand deposit in a financial~~
25 ~~institution nor any debt instrument need be listed.~~

26 ~~Entity~~ ~~Position Held~~

1
 2
 3

4 ~~7. List the name of any unit of government which employed~~
 5 ~~the person making the statement during the preceding calendar~~
 6 ~~year other than the unit or units of government in relation to~~
 7 ~~which the person is required to file.~~

8
 9

10 ~~8. List the name of any entity from which a gift or gifts,~~
 11 ~~or honorarium or honoraria, valued singly or in the aggregate~~
 12 ~~in excess of \$500, was received during the preceding calendar~~
 13 ~~year.~~

14

15 ~~VERIFICATION:~~

16 ~~"I declare that this statement of economic interests~~
 17 ~~(including any accompanying schedules and statements) has been~~
 18 ~~examined by me and to the best of my knowledge and belief is a~~
 19 ~~true, correct and complete statement of my economic interests~~
 20 ~~as required by the Illinois Governmental Ethics Act. I~~
 21 ~~understand that the penalty for willfully filing a false or~~
 22 ~~incomplete statement shall be a fine not to exceed \$1,000 or~~
 23 ~~imprisonment in a penal institution other than the penitentiary~~
 24 ~~not to exceed one year, or both fine and imprisonment."~~

25
 26

~~(date of filing) (signature of person making the statement)~~

1 (Source: P.A. 95-173, eff. 1-1-08.)

2 (5 ILCS 420/4A-108)

3 Sec. 4A-108. Internet-based systems of filing.

4 (a) Notwithstanding any other provision of this Act or any
5 other law, the Secretary of State and county clerks are a
6 ~~county clerk is~~ authorized to institute an Internet-based
7 system for the filing of statements of economic interests in
8 their offices his or her office. With respect to county clerk
9 systems, the ~~The~~ determination to institute such a system shall
10 be in the sole discretion of the county clerk and shall meet
11 the requirements set out in this Section. With respect to a
12 Secretary of State system, the determination to institute such
13 a system shall be in the sole discretion of the Secretary of
14 State and shall meet the requirements set out in this Section.

15 When this Section does not modify or remove the requirements
16 set forth elsewhere in this Article, those requirements shall
17 apply to any system of Internet-based filing authorized by this
18 Section. When this Section does modify or remove the
19 requirements set forth elsewhere in this Article, the
20 provisions of this Section shall apply to any system of
21 Internet-based filing authorized by this Section.

22 (b) In any system of Internet-based filing of statements of
23 economic interests instituted by the Secretary of State or a
24 county clerk:

25 (1) Any filing of an Internet-based statement of

1 economic interests shall be the equivalent of the filing of
2 a verified, written statement of economic interests as
3 required by Section 4A-101 and the equivalent of the filing
4 of a verified, dated, and signed statement of economic
5 interests as required by Section 4A-103 ~~4A-104~~.

6 (2) The Secretary of State and county clerks who
7 institute ~~A county clerk who institutes~~ a system of
8 Internet-based filing of statements of economic interests
9 shall establish a password-protected website ~~web site~~ to
10 receive the filings of such statements. A website
11 established under this Section shall set forth and provide
12 a means of responding to the questions ~~items~~ set forth in
13 Section 4A-103 ~~4A-102~~ that are required of a person who
14 files a statement of economic interests with that officer.

15 (3) The times for the filing of statements of economic
16 interests set forth in Section 4A-105 shall be followed in
17 any system of Internet-based filing of statements of
18 economic interests; provided that a candidate for elective
19 office who is required to file a statement of economic
20 interests in relation to his or her candidacy pursuant to
21 Section 4A-105(a) shall not use the Internet to file his or
22 her statement of economic interests but shall file his or
23 her statement of economic interests in a written or printed
24 form and shall receive a written or printed receipt for his
25 or her filing.

26 (4) In the first year of the implementation of a system

1 of Internet-based filing of statements of economic
2 interests, each person required to file such a statement is
3 to be notified in writing of his or her obligation to file
4 his or her statement of economic interests and the option
5 to file by way of the Internet-based system or by way of
6 standardized form. If access to the web site requires a
7 code or password, this information shall be included in the
8 notice prescribed by this paragraph.

9 (5) When a person required to file a statement of
10 economic interests has supplied the Secretary of State or a
11 county clerk, as applicable, with an email address for the
12 purpose of receiving notices under this Article by email, a
13 notice sent by email to the supplied email address shall be
14 the equivalent of a notice sent by first class mail, as set
15 forth in Section 4A-106. A person who has supplied such an
16 email address shall notify the Secretary of State or county
17 clerk, as applicable, when his or her email address changes
18 or if he or she no longer wishes to receive notices by
19 email.

20 (6) If any person who is required to file a statement
21 of economic interests and who has chosen to receive notices
22 by email fails to file his or her statement by May 10, then
23 the Secretary of State or county clerk, as applicable,
24 shall send an additional email notice on that date,
25 informing the person that he or she has not filed and
26 describing the penalties for late filing and failing to

1 file. This notice shall be in addition to other notices
2 provided for in this Article.

3 (7) Each county clerk who institutes a system of
4 Internet-based filing of statements of economic interests
5 may also institute an Internet-based process for the filing
6 of the list of names and addresses of persons required to
7 file statements of economic interests by the chief
8 administrative officers of units of local government that
9 must file such information with that county clerk pursuant
10 to Section 4A-106. Whenever a county clerk institutes such
11 a system under this paragraph, every unit of local
12 government must use the system to file this information.

13 (8) The Secretary of State and any ~~Any~~ county clerk who
14 institutes a system of Internet-based filing of statements
15 of economic interests shall post the contents of such
16 statements filed with him or her available for inspection
17 and copying on a publicly accessible website. Such postings
18 shall not include the addresses of the filers.

19 (Source: P.A. 96-1336, eff. 1-1-11; 97-212, eff. 7-28-11.)

20 (5 ILCS 420/4A-104 rep.)

21 Section 10. The Illinois Governmental Ethics Act is amended
22 by repealing Sections 4A-104.

23 Section 99. Effective date. This Act takes effect January
24 1, 2014.